

## 根據《證券及期貨條例》(第571章)第309(2)條批給的豁免

以下載列就(一)發行在香港聯合交易所有限公司(聯交所)上市的證券的法團及(二)其他人士而批給的豁免。該等豁免就(一)批給該等法團、其大股東、董事及最高行政人員，及就(二)批給其他人士，使其無須就其於聯交所上市證券的權益所衍生的義務而遵從《證券及期貨條例》第XV部。本清單每月更新。

證監會網站內有關《證券及期貨條例》第XV部的網頁已登載證監會指引，列明根據該條例第309條批給豁免的依據。目前提供的豁免分為五類，而該指引已對該五類豁免加以述明。

### 第1類部份豁免：適用於股份已在香港聯合交易所有限公司及另一證券交易所上市的法團

名稱	聯交所 股份代號	
1 宏利金融有限公司	0945	
2 渣打集團有限公司	2888	(及 4305, 5348, 5890 及 5986)
3 英國保誠有限公司	2378	
4 迅銷有限公司 - 預託證券	6288	(該豁免僅適用於迅銷有限公司及其大股東)
5 阿里巴巴集團控股有限公司	9988	

### 第2類豁免：適用於並無股份在香港聯合交易所有限公司上市的法團

(a)第2類豁免：適用於結構性產品現時在香港聯合交易所有限公司上市的法團(並無股份在香港聯合交易所有限公司上市)

名稱	豁免期限 (注解1)	
	豁免開始日	豁免終止日
1 Bank Vontobel AG	2020年5月31日	2021年5月30日
2 BOCI Aisa Limited	2007年12月21日	2020年12月20日
3 BNP Paribas Issuance B.V.	2007年12月21日	2020年12月20日
4 Citigroup Global Markets Europe AG	2019年11月1日	2020年10月31日
5 Credit Suisse AG	2007年12月21日	2020年12月20日
6 Goldman Sachs Structured Products (Asia) Limited	2007年12月21日	2020年12月20日
7 Guotai Junan Securities (Hong Kong) Limited	2019年10月28日	2020年10月27日
8 Haitong International Securities Company Limited (注解2)	2017年3月16日	2021年3月15日
9 J.P. Morgan Structured Products B.V.	2007年12月21日	2020年12月20日
10 Macquarie Bank Limited	2008年1月1日	2020年12月31日
11 Morgan Stanley Asia Products Limited	2019年7月22日	2020年7月21日
12 SG Issuer	2016年4月1日	2021年3月31日
13 The Hongkong and Shanghai Banking Corporation Ltd	2007年12月23日	2020年12月22日
14 UBS AG	2007年12月21日	2020年12月20日

注解1: 授予以上法團的豁免期為12個月(或更少)，並豁免該法團在豁免期內所發行及在香港聯合交易所有限公司上市的結構性產品直至該結構性產品有效期完結為止。

注解2: 此前授予Haitong International Securities Company Limited的豁免已於2017年3月6日到期，但該豁免對其在2016年3月7日至2017年3月6日期間發行及在香港聯合交易所有限公司上市的結構性產品維持有效。

注解3: 授予Citigroup Global Markets Holdings Inc., Daiwa Capital Markets Hong Kong Limited, Nomura International plc, SGA Société Générale Acceptance N.V. 及 Standard Chartered Bank的豁免已分別於2015年6月14日、2016年7月19日、2015年12月9日、2016年12月20日及2016年6月27日到期。前述法團在相關豁免期內所發行的結構性產品均已到期。

(b)第2類豁免：適用於債務項目下發行的債務證券在香港聯合交易所有限公司上市的法團(並無股份在香港聯合交易所有限公司上市)

名稱	項目
1 AirAsia Global Notes Limited	US\$1,000,000,000 Multicurrency Medium Term Note Programme
2 Amipeace Ltd.	HK\$2,000,000,000 Medium Term Note Programme
3 AMTD Group Company Limited	U.S.\$500,000,000 Medium Term Note Programme
4 AMTD International Inc.	U.S.\$1,000,000,000 Medium Term Note Programme
5 AVIC International Leasing Co., Ltd.	US\$500,000,000 Medium Term Note Programme
6 AVIC International Leasing Co., Ltd.	U.S.\$500,000,000 Medium Term Note Programme
7 Azure Nova International Finance Limited	U.S.\$10,000,000,000 Medium Term Note Programme
8 Azure Orbit II International Finance Limited	US\$1,000,000,000 Medium Term Note Programme
9 Azure Orbit IV International Finance Limited	U.S.\$7,000,000,000 Medium Term Note Programme
10 Bank of China (Luxembourg) S.A.	US\$30,000,000,000 Medium Term Note Programme
11 Beijing Capital Polaris Investment Co. Ltd.	U.S.\$1,000,000,000 Medium Term Note Programme
12 Bocom Leasing Management Hong Kong Company Limited	US\$6,000,000,000 Medium Term Note Programme
13 Boom Up Investments Limited	US\$1,000,000,000 Medium Term Note Programme
14 CALC Bonds Limited	US\$3,000,000,000 Medium Term Note Programme
15 Castle Peak Power Finance Company Limited	U.S.\$2,000,000,000 Medium Term Note Programme
16 Cathay Pacific MTN Financing (HK) Limited	US\$2 billion Medium Term Note Programme
17 CCBL (Cayman) 1 Corporation Limited	US\$5,000,000,000 Guaranteed Medium Term Note Programme
18 CDBI Treasure I Limited	US\$500,000,000 Medium Term Note Programme
19 CDBL Funding 1	U.S.\$3,000,000,000 Medium Term Note Programme
20 CDBL Funding 1	US\$3,000,000,000 Medium Term Note Programme
21 CDBL Funding 2	US\$3,000,000,000 Medium Term Note Programme
22 Central Plaza Development Limited	US\$2,000,000,000 Guaranteed Medium Term Note Programme
23 CGNPC INTERNATIONAL LIMITED	US\$2,000,000,000 Guaranteed Medium Term Note Programme
24 Champion MTN Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
25 Charming Light Investments Ltd. and United Wealth Development Ltd.	US\$8,000,000,000 Medium Term Note Programme

26	Cheung Kong Finance (MTN) Limited and Joynote Ltd	US\$2,000,000,000 Medium Term Note Programme
27	Cheung Kong Infrastructure Finance (BVI) Limited	US\$2,000,000,000 Euro Medium Term Note Programme
28	China Cinda (2020) I Management Limited	U.S.\$2,000,000,000 Guaranteed Medium Term Note Programme
29	China Cinda Finance (2015) I Limited and China Cinda Finance (2015) II Limited	US\$3,000,000,000 Guaranteed Medium Term Note Programme
30	China Cinda Finance (2017) I Limited and China Cinda Finance (2017) II Limited	US\$10,000,000,000 Medium Term Note Programme
31	China Construction Bank (Asia) Corporation Limited	US\$5,000,000,000 Medium Term Note Programme
32	China Development Bank Corporation and China Development Bank Corporation Hong Kong Branch	US\$30,000,000,000 Debt Issuance Programme
33	China Great Wall International Holdings III Limited	U.S.\$6,500,000,000 Medium Term Note Programme
34	China Overseas Finance (Cayman) VIII Limited	US\$2.5 billion medium note programme
35	CICC Hong Kong Finance 2016 MTN Limited	US\$5,000,000,000 Guaranteed Medium Term Note Programme
36	CITIC Securities Finance MTN Co., Ltd.	US\$3 billion Medium Term Note Programme
37	CK Property Finance (MTN) Limited	US\$5,000,000,000 Euro Medium Term Note Programme
38	CMB International Leasing Management Limited	US\$20,000,000,000 Medium Term Note Programme
39	CMB Wing Lung Bank Limited	U.S. \$2,000,000,000 Euro Medium Term Note Programme
40	CMBLEMTN1 Limited	U.S.\$5,000,000,000 Medium Term Note Programme
41	CNPC General Capital Limited	US\$7,000,000,000 Euro Medium Term Note Programme
42	Connery Capital Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
43	COSL Singapore Capital Ltd.	US\$3,500,000,000 Euro Medium Term Note Programme
44	DianJian Haiyu Limited, Dianjian International Finance Limited, Leader Goal International Limited, SEPCO Virgin Limited	U.S.\$2,500,000,000 Medium Term Note and Senior Perpetual Securities Programme
45	Eastern Creation II Investment Holdings Ltd.	US\$6,000,000,000 Guaranteed Euro Medium Term Note Programme
46	Everbright SHK (BVI) Limited	US\$1,000,000,000 Medium Term Note Programme
47	FEC Finance Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
48	Franshion Brilliant Limited	US\$2,000,000,000 Medium Term Note Programme
49	Future Days Limited	US\$5,000,000,000 Medium Term Note Programme
50	GLP China Holdings Limited	HK\$20,000,000,000 Medium Term Note Programme
51	Goodman HK Finance	US\$2,000,000,000 Medium Term Note Programme
52	Greenland Global Investment Limited	US\$8,000,000,000 Guaranteed Medium Term Notes Programme
53	Guangzhou Metro Investment Finance (BVI) Ltd.	US\$3,000,000,000 Guaranteed Medium Term Note Programme
54	Henderson Land MTN Limited	US\$5,000,000,000 Guaranteed Medium Term Note Programme
55	HKCG (Finance) Limited	US\$3,000,000,000 Medium Term Note Programme
56	HLP Finance Limited	US\$4 billion Medium Term Note Programme
57	Hong Kong Science and Technology Parks Corporation	HK\$1,707,000,000 Guaranteed Medium Term Note Programme
58	Horse Gallop Finance Limited	US\$2,000,000,000 Guaranteed Medium Term Note Programme
59	Horsepower Finance Limited	US \$1,200,000,000 Medium Term Note Programme
60	Huarong Finance 2017 Co., Ltd.	U.S.\$14,500,000,000 Medium Term Note Programme
61	Huarong Finance 2019 Co., Ltd.	US\$2,900,000,000 Medium Term Note Programme
62	Huarong Finance II Co., Ltd	US\$11,000,000,000 Medium Term Note Programme
63	Hysan (MTN) Limited	US\$2,500,000,000 Medium Term Note Programme
64	ICBCIL Finance Co. Limited	US\$20,000,000,000 Medium Term Note Programme
65	Industrial and Commercial Bank of Asia (China) Limited	U.S.\$6,000,000,000 Medium Term Note Programme
66	Industrial Bank Co., Ltd.	U.S.\$5,000,000,000 Medium Term Note Programme
67	Inventive Global Investments Limited	U.S.\$3,500,000,000 Medium Term Note Programme
68	Joyous Glory Group Limited	US\$1,000,000,000 Medium Term Note Programme
69	K.Wah International Financial Services Limited	US\$1,000,000,000 Medium Term Note Programme
70	Kunming Traffic Investment Co., Ltd.	US\$2,000,000,000 Medium Term Note Programme
71	Midea Investment Development Company Limited	US\$3,000,000,000 Medium Term Note Programme
72	Modern Terminals Capital Limited	US\$1 billion Medium Term Note Programme
73	MTR Corporation (C.I.) Limited	US\$4 billion Medium Term Note Programme
74	New World China Land Limited	US\$2,000,000,000 Medium Term Note Programme
75	NWD (MTN) Limited	US\$6,000,000,000 Medium Term Note Programme
76	NWS (MTN) Limited	US\$1,000,000,000 Medium Term Note Programme
77	PETRONAS Capital Limited	US\$15,000,000,000 Medium Term Note Programme
78	Power Assets Finance Limited	U.S.\$2,000,000,000 Medium Term Note Programme
79	Proven Glory Capital Limited	US\$5,000,000,000 Medium Term Note Programme
80	R-REIT International Finance Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
81	RH International Finance Limited	US\$1,000,000,000 Medium Term Note Programme
82	Rongshi International Finance Limited	US\$3,000,000,000 Medium Term Note Programme
83	Shanghai Pudong Development Bank Co., Ltd., Hong Kong Branch	US\$5,000,000,000 Euro Medium Term Note Programme
84	Sichuan Development Holding Co., Ltd. and Yieldking Investment Limited	US\$2,000,000,000 Medium Term Note Programme
85	Silverbell Asia Limited	US\$1,000,000,000 Medium Term Note Programme
86	Sino (MTN) Limited	US\$1,000,000,000 Medium Term Note Programme
87	Sinochem Offshore Capital Company Limited	US\$5,000,000,000 Global Medium Term Note Programme
88	Soar Great Limited	US\$1,500,000,000 Guaranteed Medium Term Note Programme
89	Soar Wise Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
90	Splendid International Investment Limited	U.S.\$1,500,000,000 Medium Term Note Programme
91	Standard Chartered Bank (Hong Kong) Limited and Standard Chartered Bank	US\$50,000,000,000 Debt Issuance Programme
92	State Elite Global Limited	US\$2,700,000,000 Medium Term Note Programme
93	State Grid Overseas Investment (2016) Limited	US\$10,000,000,000 Medium Term Note Programme
94	Sun Hung Kai Properties (Capital Market) Limited	US\$7,000,000,000 Medium Term Note Programme
95	Sunlight REIT MTN Limited	US\$1,000,000,000 Medium Term Note Programme
96	Swire Pacific MTN Financing (HK) Limited	US\$5,000,000,000 Medium Term Note Programme
97	Swire Pacific MTN Financing Limited	US\$5,000,000,000 Medium Term Note Programme
98	Swire Properties MTN Financing Limited	US\$3,000,000,000 Medium Term Note Programme
99	The Hongkong and Shanghai Banking Corporation Limited	US\$20,000,000,000 Medium Term Note Programme
100	The Hong Kong Mortgage Corporation Limited	US\$6,000,000,000 Medium Term Note Programme
101	The Link Finance (Cayman) 2009 Limited	US\$5,000,000,000 Guaranteed Euro Medium Term Note Programme
102	Unican Limited	US\$1,000,000,000 Guaranteed Euro Medium Term Note Programme
103	Urban Renewal Authority	US\$1 billion Medium Term Note Programme
104	Vanke Real Estate (Hong Kong) Company Limited	US\$9,500,000,000 Medium Term Note Programme

105	Westwood Group Holdings Limited	US\$3,000,000,000 Medium Term Note Programme
106	Wharf Finance (BVI) Limited, Wharf Finance (BVI) No.1 Limited, Wharf Finance (No.1) Limited and Wharf Finance Limited	US\$7 billion Medium Term Note Programme
107	Wharf REIC Finance (BVI) Limited	U.S.\$3,000,000,000 Medium Term Note Programme
108	Wheelock Finance (BVI) Limited, Wheelock MTN (BVI) Limited	US\$5 billion Medium Term Note Programme
109	Wuhan Metro Group Co., Ltd.	U.S.\$1,000,000,000 Medium Term Note Programme
110	Yuexiu REIT MTN Company Limited	US\$1,500,000,000 Medium Term Note Programme
111	Zhejiang Energy International Limited	USD2,000,000,000 Medium Term Note Programme

(c)第2類豁免：適用於就某一特定發行的債務證券現時在香港聯合交易所有限公司上市的法團（並無股份在香港聯合交易所有限公司上市）

名稱	聯交所 股份代號	
1	ABCL Glory Capital Limited	5677
2	Agricultural Development Bank of China	5180
3	Agricultural Development Bank of China	5455
4	Agricultural Development Bank of China	85737
5	Agricultural Development Bank of China	85901
6	Agricultural Development Bank of China	85909
7	Agricultural Development Bank of China	85910
8	Alibaba Group Holding Limited	5843
9	Alibaba Group Holding Limited	5844
10	Alibaba Group Holding Limited	5845
11	Amber Circle Funding Limited	4586
12	Amber Treasure Ventures Limited	5243
13	Amber Treasure Ventures Limited	40216
14	Anhui Provincial Investment Group Holding Co., Ltd.	5186
15	Anhui Transportation Holding Group (H.K.) Limited	5158
16	Avenue International Holding Limited	40037
17	Avenue International Holding Limited	40160
18	AVIC International Finance & Investment Limited	4497
19	AVIC International Finance & Investment Limited	5966
20	Azure Orbit III International Finance Limited	5620
21	Azure Orbit III International Finance Limited	5621
22	Azure Orbit International Finance Limited	5909
23	Bank of Communications (Hong Kong) Limited	40176
24	Baoji Investment (Group) Co., Ltd.	5492
25	BCEG (HongKong) Company Limited	5145
26	Beijing Enterprises Water Capital Management Limited	4480
27	Beijing Gas Singapore Capital Corporation	5213
28	Beijing State-Owned Assets Management (Hong Kong) Company Limited	5521
29	Bengbu Gaoxin Investment Group Co., Ltd.	40085
30	Bengbu Gaoxin Investment Group Co., Ltd.	40131
31	Bi Hai Co., Ltd.	5185
32	Blue Skyview Company Limited	5364
33	Bluestar Finance Holdings Limited	4550
34	Bluestar Finance Holdings Limited	4556
35	Bluestar Finance Holdings Limited	5089
36	Bluestar Finance Holdings Limited	5599
37	Bluestar Finance Holdings Limited	4487
38	BNY Trust Company of Australia Limited	5766
39	BNY Trust Company of Australia Limited	40142
40	Boom Up Investments Limited	5544
41	Boxinyuan International Co., Ltd.	40036
42	BPHL Capital Management Limited	40171
43	Bright Galaxy International Limited	5927
44	Bright Scholar Education Holdings Limited	5615
45	Cable and Wireless International Finance B.V.	1077
46	Cable and Wireless International Finance B.V.	1078
47	Caiyun International Investment Limited	5947
48	CALC Bond 2 Limited	4300
49	CALC Bond 3 Limited	5390
50	CALC Bond 3 Limited	5391
51	CCB Life Insurance Company Limited	5437
52	CCBL (Cayman) Corporation Limited	5548
53	CCCI Treasure Limited	5893
54	CCCI Treasure Limited	40157
55	CCCI Treasure Limited	40158
56	CCTI 2017 Ltd	5258
57	CDBL Funding 1	5850
58	Celestial Dynasty Limited	5594
59	CELESTIAL MILES LIMITED	5706
60	Central Plaza Development Ltd.	5919
61	CGNPC International Limited	5058
62	CGNPC International Limited	5059
63	CGNPC International Limited	5153
64	CGNPC International Limited	5154
65	CGNPC International Limited	5519
66	Chalco Hong Kong Investment Company Limited	5151
67	Chalco Hong Kong Investment Company Limited	5920
68	Chalco Hong Kong Investment Company Limited	5727
69	Chalco Hong Kong Investment Company Limited	5985
70	Chalieco Hong Kong Corporation Limited	5321
71	Chalieco Hong Kong Corporation Limited	5702
72	Chalieco Hong Kong Corporation Limited	40070
73	Chang Development International Limited	5090

74	Chang Development International Limited	5744
75	Changde Economic Construction Investment Group Co., Ltd.	5642
76	Changde Urban Construction and Investment Group Co., Ltd.	5509
77	Changde Urban Construction and Investment Group Co., Ltd.	5959
78	Changsha Pilot Investment Holdings Co., Ltd.	40064
79	Charter Style International Limited	85993
80	Chengdu Airport Xingcheng Investment Group Co., Ltd.	5201
81	Chengdu Communications Investment Group Corporation Limited	5062
82	Chengdu Communications Investment Group Corporation Limited	5493
83	Chengdu Economic and Technological Development Zone Construction and Development Co., Ltd.	5714
84	Chengdu Economic and Technological Development Zone State-Owned Assets Investment Co., Ltd.	5751
85	Chengdu Hi-tech Investment Group Co., Ltd.	5206
86	Chengdu Jiaozhi Financial Holding Group Co., Ltd.	40061
87	Chengdu Tianfu New Area Investment Group Co., Ltd.	6011
88	Chengdu Xingcheng Investment Group Co., Ltd.	5314
89	Chenzhou Industry Investment Group Co., Ltd.	40197
90	Cheung Kong Bond Securities (02) Limited	4559
91	Cheung Kong Bond Securities (03) Limited	4595
92	Cheung Kong Infrastructure Finance (BVI) Limited	5060
93	China Bright (Hong Kong) Limited	5937
94	China Cinda Finance (2014) Limited	5748
95	China CITIC Bank International Limited	5199
96	China CITIC Bank International Limited	5728
97	China CITIC Bank International Limited	5831
98	China CITIC Bank International Limited	5992
99	China CITIC Bank International Limited	4594
100	China Clean Energy Development Limited	5568
101	China Development Bank Corporation	85904
102	China Development Bank Corporation	85905
103	China Development Bank Corporation	85934
104	China Energy Reserve and Chemicals Group Holding Limited	5639
105	China Energy Reserve and Chemicals Group Overseas Company Limited	5503
106	China Great Wall International Holdings IV Limited	5617
107	China Great Wall International Holdings IV Limited	5620
108	China Huadian Overseas Development 2018 Limited	4491
109	China Huadian Overseas Development Management Company Limited	4533
110	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40093
111	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40094
112	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40095
113	China Life Insurance (Overseas) Co., Ltd	5250
114	China Merchants Finance Company Limited	4548
115	China Merchants Finance Company Limited	5554
116	China Merchants Finance Company Limited	5555
117	China Minmetals Corporation	4442
118	China Minmetals Corporation	5009
119	China Overseas Finance (Cayman) II Limited	4503
120	China Overseas Finance (Cayman) III Limited	5988
121	China Overseas Finance (Cayman) III Limited	5989
122	China Overseas Finance (Cayman) V Limited	4579
123	China Overseas Finance (Cayman) V Limited	4580
124	China Overseas Finance (Cayman) VI Limited	5746
125	China Overseas Finance (Cayman) VI Limited	5760
126	China Overseas Finance (Cayman) VII Limited	4475
127	China Overseas Finance (Cayman) VII Limited	4476
128	China Overseas Finance Investment (Cayman) V Limited	5600
129	China Overseas Grand Oceans Finance IV (Cayman) Limited	5106
130	China Railway Resources Huitung Limited	5904
131	China Railway XunJie Co., Limited	5244
132	China Railway XunJie Co., Limited	5692
133	China Resources Power East Foundation Co., Ltd.	4516
134	China Shenhua Overseas Capital Company Limited	5863
135	China Southern Power Grid International Finance (BVI 2018) Co., Limited	5166
136	China Southern Power Grid International Finance (BVI 2018) Co., Limited	5167
137	China Southern Power Grid International Finance (BVI) Co., Limited	4583
138	China Southern Power Grid International Finance (BVI) Co., Limited	4598
139	China State Construction Finance (Cayman) I Limited	5462
140	China State Construction Finance (Cayman) II Limited	5037
141	China State Construction Finance (Cayman) II Limited	5038
142	China State Construction Finance (Cayman) III Limited	40083
143	China Taiping Capital Limited	4582
144	China Taiping New Horizon Limited	5984
145	China Yangtze Power International (BVI) 1 Limited	5300
146	China Yangtze Power International (BVI) 2 Limited	5301
147	Chinalco Capital Holdings Limited	4301
148	Chinalco Capital Holdings Limited	5438
149	Chinalco Capital Holdings Limited	5745
150	Chiyu Banking Corporation Limited	5034
151	Chongqing Casin Group Co., Ltd	5595
152	Chongqing Energy Investment Group Co., Ltd.	5898
153	Chongqing Hechuan City Construction Investment (Group) Co., Ltd.	5088
154	Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.	4562
155	Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.	5488
156	Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.	5699
157	Chongqing Wansheng Economic and Technological Development Zone Development & Investment Group Co., Ltd.	5157
158	Chouzhou International Investment Limited	4542
159	Chouzhou International Investment Limited	5056
160	Chouzhou International Investment Limited	40154
161	CITIC Telecom International Finance Limited	5908

162	City Development Company of Lan Zhou	40066
163	CLP Power HK Finance Ltd	40044
164	CLP Power HK Finance Ltd.	5740
165	CLP Power Hong Kong Financing Limited	4306
166	CMHI Finance (BVI) Co., Ltd	5134
167	CMHI Finance (BVI) Co., Ltd	5135
168	CMOC Capital Limited	5722
169	CNI Capital Limited	85908
170	CNOOC Curtis Funding No. 1 Pty Ltd	5972
171	CNOOC Curtis Funding No. 1 Pty Ltd	5973
172	CNOOC Finance (2003) Limited	2568
173	CNOOC Finance (2011) Limited	4506
174	CNOOC Finance (2011) Limited	4507
175	CNOOC Finance (2012) Limited	4546
176	CNOOC Finance (2012) Limited	4547
177	CNOOC Finance (2013) Limited	5941
178	CNOOC Finance (2013) Limited	5942
179	CNOOC Finance (2013) Limited	40016
180	CNOOC Finance (2015) Australia Pty Ltd	5507
181	CNOOC Finance (2015) U.S.A. LLC	4478
182	CNOOC Finance (2015) U.S.A. LLC	4479
183	CNOOC Finance (2015) U.S.A. LLC	5508
184	CNOOC Nexen Finance (2014) ULC	5738
185	CNOOC Nexen Finance (2014) ULC	5739
186	CNPC (HK) Overseas Capital Ltd.	4513
187	CNPC (HK) Overseas Capital Ltd.	4514
188	CNPC General Capital Limited	4544
189	CNPC General Capital Limited	5923
190	COSCO Pacific Finance (2013) Company Limited	5900
191	COSL Finance (BVI) Limited	4563
192	Cosmos Boom Investment Limited	5534
193	CRCC Chengan Limited	5581
194	CRCC Yupeng Limited	5778
195	CRCC Yuxiang Limited	5945
196	CSCEC Finance (Cayman) I Limited	5574
197	CSCEC Finance (Cayman) II Limited	5232
198	CSCEC Finance (Cayman) II Limited	5233
199	CSCEC Finance (Cayman) II Limited	5669
200	CSCI Finance (2015) Co. Ltd	5564
201	CSSC Capital 2015 Limited	40145
202	CSSC Capital 2015 Limited	40146
203	CSSC Capital One Limited	5181
204	CSSC Capital Two Limited	5182
205	Dah Sing Bank, Limited	5316
206	Dah Sing Bank, Limited	5524
207	Dah Sing Bank, Limited	6021
208	Dalian Deta Holding Co., Ltd.	5608
209	Dangdai International Investments Ltd	5027
210	Dangdai International Investments Ltd	40121
211	Dawn Victor Limited	5409
212	Dianjian Haixing Limited	5816
213	Dongxing Voyage Company Limited	5643
214	Ease Trade Global Limited	4458
215	Eastern Air Overseas (Hong Kong) Corporation Limited	5022
216	Elect Global Investments Limited	40173
217	ENN CLEAN ENERGY INTERNATIONAL INVESTMENT LIMITED	5814
218	Excel Capital Global Limited	4496
219	Expand Lead Limited	5165
220	First FZ Bond Limited	5275
221	FPC Capital Limited	5107
222	FPC Treasury Limited	5924
223	Franshion Brilliant Limited	5030
224	Franshion Brilliant Limited	5229
225	Franshion Brilliant Limited	5289
226	Franshion Brilliant Limited	5347
227	Franshion Brilliant Limited	5385
228	Franshion Brilliant Limited	5447
229	Franshion Brilliant Limited	5472
230	Fujian Zhanglong Group Co., Ltd.	5700
231	Full Dragon (Hong Kong) International Development Limited	4428
232	FWD Group Limited	4403
233	FWD Group Limited	5019
234	FWD Group Limited	5221
235	FWD Group Limited	5793
236	FWD Limited	4416
237	FWD Limited	5351
238	FWD Limited	5803
239	Gansu Province Electric Power Investment Group Co., Ltd.	5466
240	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	5057
241	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	5132
242	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	5999
243	Gaoxin International Investment Co., Ltd	40081
244	Gemdale Ever Prosperity Investment Limited	4578
245	GET International Investment Holdings Limited	5381
246	GOHL Capital Limited	5352
247	GRAM International Limited	5587
248	Granda Century Limited	5152
249	Green Fortune Capital Limited	40010
250	Greenland Global Investment Limited	5769

251	Guang Ying Investment Limited	4492
252	Guangsha Holding Group Co., Ltd.	5456
253	Guangxi Communications Investment Group Corporation. Ltd	5880
254	Guangxi Financial Investment Group Co., Ltd.	5098
255	Guangxi Liuzhou Dongcheng Investment Development Group Co., Ltd.	40013
256	GUANGZHOU Silk Road Investment Limited	5323
257	Guofa Overseas Investment Company Limited	40098
258	Guohui International (BVI) Co., Ltd.	6002
259	Guotai Junan Holdings Limited	5853
260	Haichuan International Investment Co., Ltd.	5460
261	Haiguo Xintai Capital Limited	40011
262	Haimen Zhongnan Investment Development (International) Co., Ltd.	4585
263	Haitian (BVI) International Investment Development Limited	5061
264	Haitong International Finance 2015 Limited	5867
265	Haitong International Finance Holdings 2015 Limited	40186
266	Hangzhou Fuyang Chengtuo Group (HK) Limited	5469
267	Hanhui International Limited	5657
268	Hanrui Overseas Investment Co Ltd	5081
269	Harvest International Company	5024
270	HBIS Group Hong Kong Co., Limited	40108
271	HK Onlink Technology Co., Limited	40168
272	Hong Kong JY Flower Limited	40163
273	Hong Kong Red Star Macalline Universal Home Furnishings Limited	5278
274	Hong Kong Sukuk 2015 Limited	4226
275	Hong Kong Sukuk 2017 Limited	4233
276	Hong Seng Limited	40172
277	Honghe Development Group Co. Ltd.	40215
278	Hongkong Baorong Development Limited	5465
279	Hongkong Electric Finance Limited	4505
280	Hongkong Ideal Investment Limited	40019
281	Hongkong International (Qingdao) Company Limited	5023
282	Hongkong International (Qingdao) Company Limited	5048
283	Hongkong International (Qingdao) Company Limited	5875
284	Hongkong International (Qingdao) Company Limited	40017
285	Hongkong International (Qingdao) Company Limited	40053
286	Hongkong International (Qingdao) Company Limited	40206
287	HongKong Xiangyu Investment Co., Limited	4409
288	Huafa 2019 I Company Limited	5976
289	Huafa Group 2018 I Company Limited	5150
290	Huai'an Traffic Holding Co., Ltd.	6006
291	Huai'an Water Conservancy Holding Group Co., Ltd.	6009
292	Huaibei City Construction Investment Holding Group Company Limited	5474
293	Huaibei City Construction Investment Holding Group Company Limited	5946
294	Huaneng Hong Kong Capital Limited	5000
295	Huantaihu International investment Co., Ltd	40194
296	Huasing International Holdings Co., Limited	4448
297	Huasing International Holdings Co., Limited	4449
298	Huaxin Pharmaceutical (Hong Kong) Co., Ltd.	4447
299	Huayi Finance I Ltd.	40042
300	HUAYUAN PROPERTY CO., LTD	5922
301	Huayuan Property Co., Ltd.	5239
302	Hubei Science & Technology Investment Group (Hong Kong) Company Limited	4431
303	Hunan Xiangjiang New Area Development Group Co Ltd	5223
304	Huzhou City Investment Development Group Co., Ltd.	5074
305	Huzhou Communications Investment Group Co., Ltd.	85740
306	IFC Development (Corporate Treasury) Limited	5756
307	IFC Development (Corporate Treasury) Limited	5757
308	IFC Development (Corporate Treasury) Limited	6000
309	IFC Development (Corporate Treasury) Limited	40198
310	Industrial and Commercial Bank of China (Asia) Limited	5686
311	Industrial and Commercial Bank of China (Asia) Limited	5976
312	Industrial and Commercial Bank of China (Macau) Limited	5750
313	Industrial and Commercial Bank of China (Macau) Limited	5797
314	Industrial Investment Overseas Limited	40226
315	Jiangsu Zhongguancun Holding Group (International) Co Ltd	40038
316	Jiangxi Provincial Water Conservancy Investment Group (China) Limited	40086
317	Jiangxi Railway Investment Group Corporation	5770
318	JIC Zhixin Limited	5031
319	JIC Zhixin Limited	5032
320	Jinan Hi-tech Holding Group Co., Ltd.	5481
321	Jinan Hi-tech Holding Group Co., Ltd.	40018
322	Jinan West City Investment and Development Group Co., Ltd.	4588
323	Jining High Tech Urban Construction Investment Co., Ltd.	40230
324	Jinke Property Group Co., Ltd.	5292
325	Jinshine International Co., Ltd.	4451
326	Jisco SR Pearl Ltd.	5953
327	Jiuding Group Finance Co., Ltd	5246
328	Joy Treasure Assets Holdings Inc.	5901
329	Joy Treasure Assets Holdings Inc.	5903
330	Joy Treasure Assets Holdings Inc.	6027
331	Joy Treasure Assets Holdings Inc.	40000
332	King Power Capital Ltd.	5823
333	King Talent Management Limited	5026
334	Korean Reinsurance Company	5815
335	Kunming Dianchi Investment Co. Ltd.	5569
336	Kunming Municipal Urban Construction Investment & Development Co., Ltd.	40026
337	Kunming Rail Transit Group Co., Ltd.	40100
338	Kunming Rail Transit Group Co., Ltd.	40101
339	Kunzhi Limited	4464
340	Kunzhi Limited	5100

341	Lai Fung Bonds (2018) Limited	5087
342	Legend Fortune Limited	5128
343	Liang Shan International Development Limited	5585
344	Lingang Wings Inc	5149
345	LinJiang Investment and Development (BVI) Co., Ltd	40087
346	Liuzhou Dongtong Investment & Development Co., Ltd.	4329
347	LS Finance (2017) Limited	4471
348	LS Finance (2022) Limited	4571
349	LS Finance (2025) Limited	5535
350	LSD Bonds (2017) Limited	5270
351	Luso International Banking Limited	5078
352	Malaysia Sovereign Sukuk Berhad	5894
353	Malaysia Sovereign Sukuk Berhad	5895
354	Malaysia Sukuk Global Berhad	5633
355	Malaysia Sukuk Global Berhad	5634
356	MCC Holding (Hong Kong) Corporation Limited	4483
357	MCC Holding (Hong Kong) Corporation Limited	40188
358	Mei Nian Investment Limited	5932
359	Meilun (BVI) Limited	5625
360	Mianyang Investment Holding (Group) Co., Ltd.	40039
361	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5552
362	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5553
363	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5688
364	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5689
365	Minmetals Bounteous Finance (BVI) Limited	40175
366	Minmetals Land Capital Limited	5933
367	Mudanjiang City Investment Group Co., Ltd.	5297
368	Nanjing Yangzi State-owned Assets Investment Group Co., Ltd	5053
369	Nanjing Yangzi State-owned Assets Investment Group Co., Ltd	5054
370	Nanyang Commercial Bank, Limited	5214
371	Nanyang Commercial Bank, Limited	40069
372	New Lion Bridge Company Limited	5290
373	New Momentum International Limited	5997
374	Nimble City Limited	5144
375	Nuoxi Capital Limited	4401
376	Nuoxi Capital Limited	4402
377	Nuoxi Capital Limited	5365
378	Nuoxi Capital Limited	5484
379	Nuoxi Capital Limited	5704
380	Nuoxi Capital Limited	5996
381	NWD Finance (BVI) Limited	4561
382	NWD Finance (BVI) Limited	5856
383	Oceanwide Holdings International 2015 Co., Limited	5557
384	Oceanwide Holdings International 2017 Co., Limited	5251
385	Oceanwide Holdings International Development III Co., Ltd.	4532
386	Oceanwide Holdings International Development III Co., Ltd.	5196
387	Orient Huizhi Limited	5041
388	Oriental Capital Company Limited	40025
389	OVPH Limited	5614
390	PB Issuer (No.4) Limited	5525
391	PB Issuer (No.5) Limited	40097
392	PCGI Intermediate Holdings (II) Limited	40074
393	PCGI Intermediate Limited	40040
394	PCGI Intermediate Limited	40040
395	Perfect Point Ventures Limited	4566
396	Petron Corporation	5905
397	PHBS Limited	4325
398	Phoenix Charm International Investment Limited	40058
399	Phoenix Charm International Investment Limited	40071
400	Phoenix Lead Limited	5262
401	Pingdingshan Tianan Coal Mining Co., Ltd.	6004
402	Pingdu State-owned Assets Management Co., Ltd.	40207
403	Pingxiang Urban Construction Investment Developing Company	4535
404	Pioneer Reward Limited	4530
405	Pioneer Reward Limited	40143
406	Poly Real Estate Finance Ltd	4417
407	Poly Real Estate Finance Ltd	5164
408	Poly Real Estate Finance Ltd	5916
409	Power Best Global Investments Limited	5940
410	Powerchina Real Estate Group Ltd.	5471
411	Press Metal (Labuan) Ltd.	5299
412	Prosperous Ray Limited	5994
413	Proven Honour Capital Limited	5516
414	Proven Honour Capital Limited	5641
415	Pterosaur Capital Co., Limited	6021
416	PTT Public Company Limited	2527
417	Qingdao Jiaozhou Bay Development Co., Ltd.	5117
418	Qingdao Jiaozhou Bay Development Group Co., Ltd.	5951
419	Qingdao Jimo District Urban Development Investment Co., Ltd.	5658
420	Qinghai Provincial Investment Group Co., Ltd.	4450
421	Radiant Access Limited	5205
422	Rail Transit International Investment Company Limited	5644
423	RKI Overseas Finance 2016 (B) Limited	4309
424	Rongshi International Finance Limited	5448
425	Rongshi International Finance Limited	5449
426	Rosy Delta Investments Limited	5097
427	Rugao Economic and Trade Development Company	40041
428	Rugao Economic and Trade Development Company	40133
429	SCIG International Financial Limited	5174

430	SDG FINANCE I LIMITED	4539
431	SDG FINANCE I LIMITED	5192
432	SDSC Global Finance Limited	5303
433	SDSC International Development Limited	40022
434	SF Holding Investment Limited	5130
435	SF Holding Investment Limited	40152
436	Shaanxi Xixian New Area Fengxi New City Development and Construction (Group) Co., Ltd.	40073
437	Shaanxi Xixian New Area Fengxi New City Development and Construction (Group) Co., Ltd.	5504
438	Shaanxi Xixian New Area Qinhan New City Development and Construction Group Co., Ltd.	5935
439	Shandong Commercial Group Co., Ltd.	5512
440	Shandong Energy Australia Pty Ltd	5248
441	Shandong Iron and Steel Xinheng International Company Limited	5063
442	Shandong Iron and Steel Xinheng International Company Limited	5470
443	Shandong Iron and Steel Xinheng International Company Limited	5601
444	Shandong Iron and Steel Xinheng International Company Limited	40003
445	Shanghai Commercial Bank Limited	5043
446	Shanghai Commercial Bank Limited	5530
447	Shanghai Electric Power Finance Limited	5558
448	Shanghai Port Group (BVI) Development Co., Limited	4587
449	Shanghai Port Group (BVI) Development Co., Limited	5734
450	Shanghai Port Group (BVI) Development Co., Limited	5741
451	Shanghai Port Group (BVI) Holding Co., Limited	5255
452	Shanghai Port Group (BVI) Holding Co., Limited	5256
453	Shangrao Investment Holdings International Company Limited	4429
454	Shangrao Investment Holdings International Company Limited	40122
455	Shanghai (Hong Kong) International Investments Limited	40009
456	Shaoxing City Investment Group Limited	5489
457	Shaoxing Shangyu State-owned Capital Investment and Operation Co., Ltd.	40082
458	Shengzhou Investment Holdings Co., Ltd.	40119
459	Shouguang City Construction Investment Development Co., Ltd.	40233
460	Shuifa International Holdings (BVI) Co., Ltd.	5992
461	Shuifa International Holdings (BVI) Co., Ltd.	40214
462	Si Fa Investment Limited	5490
463	Sichuan Communications Overseas Development Co., Ltd.	5399
464	Sichuan Gloport Investment Development Group Co., Ltd	40196
465	Sichuan Railway Investment Group Co., Ltd.	5575
466	SIHC International Capital Ltd	5176
467	SIHC International Capital Ltd	5177
468	Sino Trendy Investment Limited	4407
469	Sinochem Global Capital Co., Ltd.	5935
470	Sinochem Overseas Capital Company Limited	4501
471	Sinochem Overseas Capital Company Limited	4502
472	Sino-Ocean Land Treasure Finance I Limited	5782
473	Sino-Ocean Land Treasure Finance II Limited	5869
474	Sino-Ocean Land Treasure III Limited	5276
475	Sino-Ocean Land Treasure IV Limited	5131
476	Sino-Ocean Land Treasure IV Limited	5623
477	Sino-Ocean Land Treasure IV Limited	5712
478	Sino-Ocean Land Treasure IV Limited	40115
479	Sinosing Services Pte. Ltd.	40155
480	Sinosing Services Pte. Ltd.	40156
481	Skyland Mining (BVI) Limited	5228
482	Skysea International Capital Management Limited	4529
483	SmarTone Finance Limited	5917
484	Societe Generale	5947
485	Societe Generale	5959
486	Societe Generale	5960
487	Societe Generale	5961
488	Societe Generale	5962
489	SPIC 2016 US dollar Bond Company Limited	5324
490	SPIC 2016 US dollar Bond Company Limited	5325
491	SPIC 2018 USD Senior Perpetual Bond Company Limited	5453
492	SPIC Luxembourg Latin America Renewable Energy Investment Company S.à r.l.	5193
493	SPIC Luxembourg Latin America Renewable Energy Investment Company S.à r.l.	5194
494	State Grid Overseas Investment (2013) Limited	5954
495	State Grid Overseas Investment (2013) Limited	5955
496	State Grid Overseas Investment (2014) Limited	5742
497	State Grid Overseas Investment (2014) Limited	5743
498	State Grid Overseas Investment (2016) Limited	5649
499	State Grid Overseas Investment (2016) Limited	5650
500	State Grid Overseas Investment (2016) Limited	5651
501	State Grid Overseas Investment (2016) Limited	5652
502	Strategic International Group Limited	5241
503	Sunny Express Enterprises Corp.	5906
504	Sunny Express Enterprises Corp.	40030
505	Sunny Express Enterprises Corp.	40031
506	Sunny Express Enterprises Corp.	40203
507	Sunny Express Enterprises Corp.	40204
508	Sunshine Life Insurance Corporation Limited	5628
509	Sunshine Life Insurance Corporation Limited	5629
510	Suqian Economic Development (BVI) Co., Limited	40034
511	Suzhou City Construction Investment Group (Holding) Co., Ltd.	5723
512	Taikang Insurance Group Inc.	5349
513	Taiyuan State-owned Investment Group Company Limited	6005
514	Taizhou Infrastructure Construction Investment Group Co., Ltd	5197
515	The Hongkong and Shanghai Banking Corporation Limited	4323
516	Tianfeng Securities Co., Ltd.	40090
517	Tianjin Lingang Investment Holding Co., Ltd.	5716
518	Tianjin Lingang Investment Holding Co., Ltd.	40234



519	Tianjin Real Estate Group Co., Ltd	5286
520	Tianjin State-owned Capital Investment and Management Co., Ltd.	40103
521	Tianjin State-owned Capital Investment and Management Co., Ltd.	40104
522	Tianjin State-owned Capital Investment and Management Co., Ltd.	40105
523	Tianjin State-owned Capital Investment and Management Co., Ltd.	40106
524	Tianqi Finco Co., Ltd	5036
525	Tibet Financial Leasing Co., Ltd.	5707
526	Tongfang Aqua 2017 Limited	4454
527	Tongfang Aqua Limited	6001
528	Tongling Development Investment Group Company Limited	40153
529	Top Luxury Investment Limited	5598
530	Towngas (Finance) Limited	5749
531	Towngas (Finance) Limited	6018
532	Trade Horizon Global Limited	5133
533	Treasure Generator Limited	5709
534	Triceratops Capital Co., Limited	4408
535	Tsinghua Unic Limited	4413
536	Tsinghua Unic Limited	4414
537	Tsinghua Unic Limited	4415
538	Tuspark Forward Ltd.	4599
539	Tuspark Forward Ltd.	5143
540	Tuspark Forward Ltd.	5857
541	TVB Finance Limited	4577
542	UHI Capital Ltd.	4572
543	Unigroup International Holdings Ltd.	5597
544	Union Life Insurance Co., Ltd.	4322
545	Urumqi Gaoxin Investment and Development Group Co., Ltd.	5073
546	Vertex Capital Investment Limited	5931
547	Viva Incubator Investment Management Limited	40144
548	Wakala Global Sukuk Berhad	4519
549	Wanda Group Overseas Limited	5599
550	Wanda Properties International Co. Limited	6023
551	Wanda Properties Overseas Limited	40088
552	Wanda Properties Overseas Limited	40139
553	Want Want China Finance Limited	5446
554	Weifang Urban Construction and Development Investment Group Co., Ltd.	5189
555	Weimob Investment Limited	40218
556	Wuhan Financial Holdings (Group) Co., Ltd.	5498
557	Wuhan Metro Group Co., Ltd.	5467
558	Wuhan Real Estate Development & Investment Group Co., Ltd.	5139
559	Wuhan State-owned Assets Management Limited Company	5070
560	Xi Hai An 2019 Limited	40067
561	Xi Yang Overseas Limited	4565
562	Xi'an Aerospace High-Tech Industry Development Co., Ltd	40187
563	Xi'an Aerospace High-Tech Industry Development Co., Ltd	40231
564	Xi'an Quijiang Culture Holdings Co., Ltd.	40002
565	Xi'an Quijiang Culture Holdings Co., Ltd.	40183
566	Xiangyu Investment (BVI) Co., Ltd.	5572
567	Xihui Haiwai I Investment Holdings Co., Limited	5990
568	Xin Fu (BVI) Co., Limited	5985
569	Xingang International Holding Limited	40099
570	Xingsheng (BVI) Company Limited	5172
571	Xingsheng (BVI) Company Limited	5606
572	Xinhu (BVI) 2018 Holding Company Limited	4569
573	Xinhu (BVI) 2018 Holding Company Limited	5497
574	Xinhu (BVI) 2018 Holding Company Limited	5889
575	Xinjiang Financial Investment Co., Ltd.	5907
576	Xinjiang Transportation Construction Investment Holding Co. Ltd.	5570
577	Xinjiang Transportation Construction Investment Holding Co. Ltd.	5952
578	Xinjiang Zhongtai (Group) Co., Ltd.	4567
579	Xinxing Industry Investment Company Limited	5065
580	Yancoal International Resources Development Co., Limited	4552
581	Yancoal International Resources Development Co., Limited	5424
582	Yancoal International Resources Development Co., Ltd	5461
583	Yancoal International Trading Co., Limited	5753
584	Yango (Cayman) Investment Limited	4470
585	Yangzhou Economic and Technological Development Zone Development Corporation	5513
586	Yangzhou Urban Construction State-Owned Assets Holding (Group) Co., Ltd.	5072
587	Yankuang Group (Cayman) Limited	5044
588	Yankuang Group (Cayman) Limited	5705
589	Yichang High-Tech Investment Development Co., Ltd.	5495
590	Yichun Development Investment HaiTong Co., Limited	40084
591	Yihua Overseas Investment Ltd	5293
592	Yinchuan Tonglian Capital Investment Operation Co., Ltd.	5247
593	YONGDA Investment Limited	5543
594	Yunnan Energy Investment Overseas Finance Company Ltd.	5012
595	Yunnan Energy Investment Overseas Finance Company Ltd.	5013
596	Yunnan Energy Investment Overseas Finance Company Ltd.	5327
597	Yunnan Energy Investment Overseas Finance Company Ltd.	5457
598	Yuyao Economic Development Zone Construction Investment and Development Co., Ltd	5494
599	Yuyao Economic Development Zone Construction Investment and Development Co., Ltd	5998
600	ZGC INTERNATIONAL INVESTMENT LIMITED	40140
601	Zhangzhou Jiulongjiang Group Co., Ltd.	5730
602	Zhangzhou Transportation Development Group Co., Ltd.	5584
603	Zhaobing Investment (BVI) Limited	6018
604	Zhaohai Investment (BVI) Limited	5547
605	Zhaojin Mining International Finance Limited	5851
606	Zhejiang Baron (BVI) Company Limited	5146

607	Zhengzhou Metro Group Co., Ltd.	5496
608	Zhengzhou Real Estate Group Co., Ltd.	40014
609	Zhengzhou Urban Construction Investment Group Co., Ltd.	40063
610	Zhengzhou Urban Construction Investment Group Co., Ltd.	40118
611	Zhenjiang Cultural Tourism Industry Group Co., Ltd.	40072
612	Zhongtai International Bond 2017 (BVI) Company Limited	5263
613	Zhongyuan Asset Management Co., Ltd.	40078
614	Zhongyuan Sincere Investment Co. Ltd.	5095
615	Zhongyuan Sincere Investment Co. Ltd.	5596
616	Zhoushan City Investment Group Corporation Limited	5487
617	Zhuhai Da Heng Qin Investment Co., Ltd.	40080
618	Zhuhai Da Heng Qin Investment Co., Ltd.	85915
619	Zhuji Development Limited	5480
620	Zhuzhou City Construction Development Group Co., Ltd.	4531
621	Zijin International Capital Company Limited	5188

**第3類豁免：適用於股份在香港聯合交易所有限公司上市的以法團形式運作的開放式集體投資計劃**

名稱	聯交所 股份代號	
1	Xtrackers FTSE Vietnam Swap UCITS ETF*	3087
2	Xtrackers FTSE China 50 UCITS ETF	3007
3	Xtrackers MSCI Brazil UCITS ETF	3048
4	Xtrackers MSCI Korea UCITS ETF	2848
5	Xtrackers MSCI Pacific ex Japan UCITS ETF	3043
6	Xtrackers MSCI Russia Capped Swap UCITS ETF*	3027
7	Xtrackers MSCI Taiwan UCITS ETF	3036
8	Xtrackers MSCI USA Swap UCITS ETF*	3020
9	Xtrackers MSCI World Swap UCITS ETF*	3019
10	Xtrackers Nifty 50 Swap UCITS ETF*	3015
11	Xtrackers CSI300 Swap UCITS ETF*	3049
12	Xtrackers MSCI China UCITS ETF	3055
13	Xtrackers MSCI Indonesia Swap UCITS ETF*	3099
14	Xtrackers MSCI Malaysia UCITS ETF	3082
15	Xtrackers MSCI Thailand UCITS ETF	3092
16	Xtrackers MSCI Philippines UCITS ETF	3016
17	Xtrackers MSCI Singapore UCITS ETF	3065
18	Global X China Consumer Brand ETF	2806
19	Global X China Electric Vehicle ETF	2845
20	Global X China Clean Energy ETF	2809

\* 此基金為一隻合成交易所買賣基金

**第4類豁免：適用於聯交所的交易所參與者**

名稱	
1	中國創盈市場服務有限公司
2	中國投資信息有限公司

**第5類豁免：適用於屬結算參與者的結算所**

名稱	
1	中國證券登記結算有限責任公司
2	中國證券登記結算(香港)有限公司

**根據《證券及期貨條例》(第571章)第309(2)條批給的其他豁免**

名稱	
1	Budweiser Brewing Company APAC Limited